PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007, OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER 7217/70982 AF ICATE N 102 (II Grown, Se 37 CFR 1:5)

INTERNATIONAL APPLICATION NO. PCT/JP03/13082	INTERNATIONAL FILING DATE October 10, 2003	PRIORITY DATE CLAIMED November 15, 2002						
TITLE OF INVENTION AUDIO SIGNAL PROCESSING M	LE OF INVENTION AUDIO SIGNAL PROCESSING METHOD AND APPARATUS							
PPLICANT(S) FOR DO/EO/US								
Kohei Asada and Tetsunori Itabashi								
pplicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:								
X This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.								
This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.								
3. X This is an express request to begin nati (5), (6), (9) and (21) indicated below.	This is all express request to begin hational examination procedures (55 6.6.6. or 1/1/1). The submission mast include items							
4. The US has been elected (Article 31).	The US has been elected (Article 31).							
5. X A copy of the International Application	A copy of the International Application as filed (35 U.S.C. 371(c)(2))							
a. $\overline{X}$ is attached hereto (required	a. X is attached hereto (required only if not communicated by the International Bureau).							
b. has been communicated by	b. has been communicated by the International Bureau.							
c. is not required, as the applic	c. is not required, as the application was filed in the United States Receiving Office (RO/US).							
6. X An English language translation of the	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).							
a. X is attached hereto.	a. X is attached hereto.							
b. has been previously submit	b. has been previously submitted under 35 U.S.C. 154(d)(4).							
7. Amendments to the claims of the Inte	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))							
a. are attached hereto (requi	a. are attached hereto (required only if not communicated by the International Bureau).							
b. have been communicated	b. have been communicated by the International Bureau.							
c. have not been made; how	c. have not been made; however, the time limit for making such amendments has NOT expired.							
d. have not been made and v	d. have not been made and will not be made.							
8. An English language translation of the	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).							
9. An oath or declaration of the inventor	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).							
10. An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).							
Items 11 to 20 below concern document(s	Items 11 to 20 below concern document(s) or information included:							
11. An Information Disclosure Statement	under 37 CFR 1.97 and 1.98.							
12. X An assignment document for recording	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.							
13. A preliminary amendment.	A preliminary amendment.							
14. An Application Data Sheet under 37	An Application Data Sheet under 37 CFR 1.76.							
15. A substitute specification.	A substitute specification.							
16. A power of attorney and/or change of	A power of attorney and/or change of address letter.							
17. A computer-readable form of the seq	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.							
18. A second copy of the published Interest	A second copy of the published International Application under 35 U.S.C. 154(d)(4).							
19. A second copy of the English language	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).							
20. X Other items or information: Form	Other items or information. Formal Drawings 33 Figures 23 Sheets							

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. Do NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION	533612 CFR 1.	D. dicknown see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. PCT/JP03/13082		ATTORNEY'S DOCKET NUMBER 7217/70982		
The following fees have been submitted			CALCULATIONS	PTO USE ONLY		
21. 🗓 Basic national fee\$300			\$ 300			
22. 📆 Examination fee  If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)			\$ 200			
23. X Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority. \$100 International Search Report prepared and provided to the Office. \$400 All other situations. \$500			s 400			
TOT	AL OF 21, 22 and 23 =	-d' 400 ab-at- (-		\$ 900		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.						
	thereof (round	ch additional 50 or fraction up to a whole number)	RATE			
44 - 100 =	0 /50 =	•	× \$250	\$ .		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).				\$		
CLAIMS	NUMBER FILED	· NUMBER EXTRA	RATE	\$		
Total claims	20 - 20 =	0	x \$ 50	\$		
Independent claims	2 - 3 =	0	x \$200	\$ .		
MULTIPLE DEPENDE	ENT CLAIM(S) (if applicable)		+ \$360	\$		
			CALCULATIONS =	\$ 900		
Applicant claims	small entity status. See 37 CF	R 1.27. Fees above are redu	ced by 1/2.			
SUBTOTAL =			\$ 900			
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).			\$			
TOTAL NATIONAL FEE =			\$ 900			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +			\$ 40			
TOTAL FEES ENCLOSED =				\$ ^940	•	
				Amount to be refunded:	\$	
				Amount to be charged:	\$	
a. A check in the amount of \$ 940.00 to cover the above fees is enclosed.						
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.						
c. The Commis	ssioner is hereby authorized to 03-3125. A duplicate co	o charge any additional fees w	hich may be required,	or credit any overpayme	ent to Deposit	
d. Fees are to I	be charged to a credit card. W	/ARNING: Information on this card information and authorize	form may become pub	lic. Credit card inform	ation should not	
NOTE: Where an app		CFR 1.495 has not been me		(37 CFR 1.137(a) or (b	)) must be filed	
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Jay H. Maioli, Esq.  SIGNATURE Cooper & Dunham LLP			1. 1-00			
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New York, NY	10036		NAME			
(212) 278-04 (212) 391 <b>-</b> 05	00 25		27,213 REGISTRATIO	ON NUMBER		

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Date of Deposit: April 29, 2005

I hereby certify that this Application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and addressed to the Commissioner for Patents, Mail Stop PCT, P.O. Box 1450, Alexandria, V.A. 22313-1450.

Applicant: Kohei Asada et al. (7217) 70982

Title: AUDIO SIGNAL PROCESSING METHOD AND APPARATUS

JAY H. MAIOLI REG. NO. 27,213

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